

36213  
#3  
BT  
12.8-03

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**Safadi**

Application No.: 10/039,156

Filed: **December 31, 2001**

For: **METHODS AND APPARATUS FOR DIGITAL RIGHTS MANAGEMENT**

**RECEIVED**

DEC 08 2003

**GROUP 3500**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on November 21, 2003.

By:

*Carol Prentice*

Carol Prentice

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. §1.56, Applicant wishes to call the attention of the Examiner to the following references:

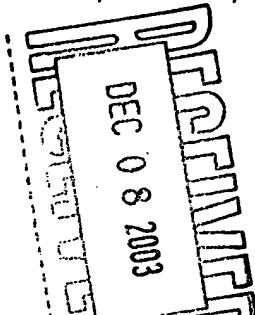
FOREIGN PATENT DOCUMENT(S):

<u>Country</u>	<u>No.</u>	<u>Date of Publication</u>
International	99/48296	Sep 23, 1999
International	01/43442	Jun 14, 2001
EPO	1 120 967	Aug 01, 2001

PUBLICATION(S):

Pekowsky, Stuart et al., "The Set-Top Box as Multi-Media Terminal," IEEE, vol. 44, no. 3, June 1998, pp. 833-840.

Clausen, Horst D. et al., "MPEG-2 as a Transmission System for Internet Traffic," IEEE, February 16, 1998, pp. 101-107.



**Application No.: 10/039,156**

**Page 2**

Copies of the above-listed references are enclosed, together with a completed form PTO-1449.

Consideration of these references by the Examiner is respectfully requested. To date, a first Office Action on the merits has not been received in connection with this application. This Information Disclosure Statement is therefore believed to be timely filed.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

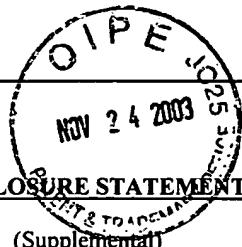
Respectfully submitted,



---

Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street, Bldg. 8  
Monroe, CT 06468  
(203) 459-0200

**Date: November 21, 2003**  
**ATTORNEY DOCKET NO.: GIC-659**



FORM PTO-1449

ATTY. DOCKET NO.: GIC-659

SERIAL NO.:  
10/039,156

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Supplemental)

APPLICANT(S): Safadi

FILING DATE: December 31, 2001

GROUP:

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE

RECEIVED

DEC 02 2003

GROUP 3600

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANS- LATION YES NO
	AA	99/48296	Sep 23, 1999	International			
	AB	01/43442	Jun 14, 2001	International			
	AC	1 120 967	Aug 01, 2001	EPO			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	AD	Pekowsky, Stuart et al., "The Set-Top Box as Multi-Media Terminal," IEEE, vol. 44, no. 3, June 1998, pp. 833-840.
	AE	Clausen, Horst D. et al., "MPEG-2 as a Transmission System for Internet Traffic," IEEE February 16, 1998, pp. 101-107.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.